



STATE OF NEW MEXICO

OFFICE OF THE SECRETARY OF STATE

Bureau of Elections, Ethics Administration
325 Don Gaspar, Suite 300, Santa Fe, New Mexico 87501
Phone: (505) 827-3600 Toll-Free: (800) 477-3632 Fax:
(505) 827-8403

2017 Financial Disclosure Statement
(§10-16A-1 to 10-16A-8, NMSA 1978)

Definition of “Financial Interest”

The term “financial interest” is defined as “interest held by an individual or his/her spouse that is (1) an ownership interest in business; or (2) any employment or prospective employment for which negotiations have already begun.”

The table below summarizes who is required to disclose a financial interest and the applicable filing dates.

An annual statement of written disclosure that is due in January is timely if received by the filing officer on any regular working day in January. An example of answers to each portion of the FDS is found on the Secretary of State’s website at www.sos.state.nm.us. This form is also available on the website.

Who Must Disclose	Filing Dates
Candidates for legislative and statewide offices.	At the time a declaration of candidacy or nominating petition is filed.
Incumbents in legislative and statewide offices.	During the month of January.
A state agency head or official whose appointment to a board or commission is subject to senate confirmation.	Within 30 days of appointment and during the month of January every year thereafter.
State employees and public officials with financial interests that may be affected by their employment or service, but who are otherwise not required to file.	Prior to entering employment or assuming office and during the month of January every year thereafter.
State employees in the executive branch who, by Executive Directive, are required to file.	Upon taking office and during the month of January every year thereafter (or as otherwise directed by Executive Directive).

Single County – Legislators and Candidates

An incumbent in or candidate for legislative office, whose district lies entirely within one county or is composed of only one county, shall file the Financial Disclosure Statement with the county clerk in his or her county of residence.

Multi-County and Statewide Officials

All other reporting persons are required to file the Financial Disclosure Statement or other written disclosure with the Office of the Secretary of State.

Filing Officer

A Financial Disclosure Statement, or other written disclosure, must be filed (received by the proper filing officer) by the statutorily defined filing date to avoid the imposition of sanctions and penalties.

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2017 Financial Disclosure Statement

- Annual Filing Filing with Declaration of Candidacy
 Within 30 days of Appointment or Employment

1. REPORTING INDIVIDUAL		
Last Name	First Name	Middle
Residence Address		Email Address
City	State	Zip
Mailing Address (If different from above)		
City	State	Zip

FILING STATUS <i>Please check the appropriate box and fill in the requested information</i>	Date assumed office, employed, or appointed
<input type="checkbox"/> CANDIDATE FOR Office:	
<input type="checkbox"/> INCUMBENT IN Office:	
<input type="checkbox"/> APPOINTED TO Board or Commission subject to Senate Confirmation:	
<input type="checkbox"/> PUBLIC OFFICER WITH Agency Name:	
<input type="checkbox"/> EMPLOYEE OF Agency Name:	

REPORTING INDIVIDUAL'S EMPLOYER INFORMATION			
Employer's Full Name		Employer's Phone Number	
P.O. Box or Street Address of Employer	City	State	Zip
Title or Position held by reporting individual	Nature of business or occupation		

2. SPOUSE OF REPORTING INDIVIDUAL		
Last Name	First Name	Middle
Name of Spouse's Employer		
Address of Spouse's Employer		
City	State	Zip
Spouse's title or position held		Nature of business or occupation

3. All sources of gross income of more than \$5,000 during the prior calendar year. *For the list of all sources, see page 4. (If you receive income of more than \$5,000 from any source(s) you must report each source, or sources, of that income. However you do not have to list the amount received.)

Salary, wages, pension, investments, etc... (*see pg. 4)	Received by (list the name of the reporting individual or spouse)

4. If reporting individual or spouse is involved in a law practice, consulting operation or similar business:

Describe the major areas of specialization or sources of income.	Income received by (list the name of the reporting individual or spouse)

5. If the spouse or a person in the reporting person's or spouse's law firm, consulting operation or similar business is or was a registered lobbyist in the previous two years, disclose all clients represented:

Client name & address	Represented by (list the name of the reporting individual's firm or spouse's firm)

6. Real estate owned in New Mexico: (other than personal residence)

Owner	County	General description

7. Other business interests in New Mexico of \$10,000 or more:

Name of business	Position held	By whom (list name of reporting individual or spouse)	Purpose of business

8. Memberships held by reporting individual (or his/her spouse) on boards of for-profit businesses in New Mexico:	
Name of business	Board member (list the name of the reporting individual or spouse)
9. Professional licenses held in New Mexico: (by reporting individual or by spouse)	
Type of license	Person holding license (list the name of the reporting individual or spouse)
10. State agencies to which you or your spouse provided goods or services in excess of \$5,000 during the prior calendar year:	
Agency to which services or goods were provided	Person providing goods or services (list the name of the reporting individual or spouse)
11. List each state agency before which you or your spouse represented or assisted a client during the past year: (do not include courts)	
Agency (other than a court)	Person assisting client (list the name of the reporting individual or spouse)
12. Provide whatever other financial interest or additional information you believe should be noted to describe potential areas of interest that should be disclosed, or (as applicable) you believe or have reason to believe, may be affected by your official acts:	

*Income sources include law practice or consulting operation or similar businesses, finance and banking, farming and ranching, medicine and health care, insurance (as a business and not as a payment on an insurance claim), oil and gas, transportation, utilities, general stock market holdings, bonds, government, education, manufacturing, real estate, consumer goods sales with a general description of the consumer goods and all "other" sources including a description of the sources.

I hereby swear or affirm under penalty of perjury that the foregoing information is true, correct and complete to the best of my knowledge.

Signature: _____ Date: _____

Printed Name: _____