

State of New Mexico
Office of the Secretary of State

Ethics Administration
325 Don Gaspar, Suite 300
Santa Fe, New Mexico 87503
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www.sos.state.nm.us/sos-FDInfo.html

2011 FINANCIAL DISCLOSURE STATEMENT

Definition of “Financial Interest”

The term “financial interest” is defined as “interest held by an individual or his spouse that is (1) an ownership interest in business; or (2) any employment or prospective employment for which negotiations have already begun;”

The table below summarizes who is required to disclose a financial interest and the applicable filing dates. **A Statement of written disclosure due in January is timely filed if received by the filing officer on any regular working day in January. If the last day of the month falls on a weekend, it is timely filed if received by the filing officer on the next regular working day.**

Who Must Disclose	Filing Dates
<ul style="list-style-type: none">• Candidates for legislative and statewide offices• Incumbents in legislative and statewide offices• Heads of State agencies appointed to boards or commissions, subject to senate confirmation• Public officials appointed to boards or commissions, subject to senate confirmation• State employees and public officials with financial interest that may be affected by their employment or service	<ul style="list-style-type: none">• At the time a declaration of candidacy or nominating petition is filed• During the month of January• Within 30 days of appointment and every January thereafter• Within 30 days of appointment and every January thereafter• Before entering state employment or taking office and every January thereafter

Filing Officer

An FDS or other written disclosure, must be filed (received by the proper filing officer) by the statutory fixed filing date to avoid the imposition of sanctions or penalties.

Statewide Officials and Others

All other reporting persons are required to file the Statement or other written disclosure with the Office of the Secretary of State.

Legislators and Candidates

An incumbent in or candidate for legislative office, whose district lies entirely within one county or is composed of only one county, shall file the Statement with the county clerk in his or her county of residence.

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- Annual Filing
- Filing with Declaration of Candidacy
- Within 30 days of Appointment/Hire

1. REPORTING INDIVIDUAL			
FULL NAME	Last name	First name	Middle
RESIDENT ADDRESS and EMAIL ADDRESS	Address		Email Address
	City	State	Zip
MAILING ADDRESS	P.O. Box or Street Address		
	City	State	Zip
Filing Status <i>(please check the appropriate box and fill in the requested information)</i>			
<input type="checkbox"/> Candidate for	office	Date appointed, Assumed Office or Hired	
<input type="checkbox"/> Incumbent in	office		
<input type="checkbox"/> Appointed to	board or commission subject to Senate Confirmation		
<input type="checkbox"/> Public officer with	agency		
<input type="checkbox"/> Employee of	agency		
Employer Information			
Full name		Phone #	
P.O. Box or Street Address		City	State
		Zip	
Title or position held		Nature of business or occupation	

2. SPOUSE

FULL NAME	Last name	First name	Middle
EMPLOYER	Name		
	Address		
	City	State	Zip
	Spouse's title or position held		Nature of business or occupation

3. SOURCES OF GROSS INCOME OVER \$5,000 (actual dollar amount not required)

Category	Received by

4. LOBBYIST'S CLIENTS (of spouse or firm, if applicable)

Name	Address

5. REAL ESTATE IN NEW MEXICO (other than personal residence)

Owner	County	General description

6. OTHER BUSINESS INTERESTS IN NEW MEXICO OF \$10,000 OR MORE

Name of business	Position held	By whom	Purpose of business

7. MEMBERSHIPS ON BOARD OF FOR-PROFIT BUSINESSES (if any)

Name of business	Member

8. PROFESSIONAL LICENSES IN NEW MEXICO (if any)

Type	Licensee

9. BUSINESS WITH STATE AGENCIES OVER \$5,000 (if any)

Agency	Seller

10. REPRESENTATION BEFORE STATE AGENCIES (other than a court)

Agency	Representative

11. ADDITIONAL INFORMATION

12. I hereby swear or affirm under penalty of perjury that the foregoing information is true, correct and complete to the best of my knowledge.

Signature: _____

Date: _____

(Printed name)